

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Appn. No: To Be Assigned  
Applicant: Isamu NAKADE, et al.  
Filed: Herewith  
Title: DISK HOLDING APPARATUS AND DISK CHANGER  
APPARATUS (AS AMENDED)  
TC/A.U.: To Be Assigned  
Examiner: To Be Assigned  
Confirmation No.: To Be Assigned  
Docket No.: NGB-104US

**INFORMATION DISCLOSURE STATEMENT**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

SIR :

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to consider and make of record the references listed on the PTO SB/08(a) and PTO SB/08(b) form submitted herewith. A copy of the references listed on the PTO SB/08(a) and PTO SB/08(b) are enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following Japanese references are not in the English language:

- 1) 2002-074793
- 2) 09-326148
- 3) 2003-248991

4) 10-283707

References 1) to 4) include an English language abstract. In addition, Reference 4) has a "concise explanation" under 37 CFR § 1.98(a)(3) as set forth in the background portion of the specification.

Further, References 1) to 3) were cited in a search report by a foreign Patent Office in a patent application corresponding to the above-identified patent application. A copy of this Japanese language search report and an English translation thereof, indicating the degree of relevance determined by the foreign patent office, are submitted herewith. MPEP Section 609 IIIA(3), second paragraph.

This Information Disclosure Statement is being filed concurrently with the above-referenced application.

Respectfully submitted,

Lawrence E. Ashery, Reg. No. 34,515  
Attorney for Applicants

LEA/fp

Enclosures: PTO/SB/08A and PTO/SB/08B

(4) References

Japanese Search Report

English translation thereof (International Search Report)

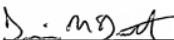
Dated: July 24, 2006

P.O. Box 980  
Valley Forge, PA 19482-0980  
(610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. 18-0350 of any fees associated with this communication.

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Date of Deposit: July 24, 2006

I hereby certify that this paper and fee are being deposited, under 37 C.F.R. § 1.10 and with sufficient postage, using the "Express Mail Post Office to Addressee" service of the United States Postal Service on the date indicated above and that the deposit is addressed to the Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

  
DENNIS McDERMOTT

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10/587233  
1970/1974

PTO/SB/08A (AW 4/25/03) (04-03)

U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

Substitute for Form 1449/PTO

**Complete if Known**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

SHEET 1 of 3

Complete if Known	
Application Number	To Be Assigned 10/587,233
Filing Date	Herewith
First Named Inventor	Isamu NAKADE, et al.
Art Unit	To Be Assigned 2627
Examiner Name	To Be Assigned T. Chen
Attorney Docket No.	NGR-1041S

U.S. PATENT DOCUMENTS

CITED PATENT DOCUMENTS					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date (MM-DD-YYYY)	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number - Kind Code <sup>2</sup> (if known)			

## FOREIGN PATENT DOCUMENTS

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH /TC/

Examiner Signature: /Tianjie Chen/ Date Considered: 03/07/2008

**AMENDED:** Initial if reference considered, whether or not citation is in conformance with M

AMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and consider. Include copy of this form with next communication to Applicant.

**Applicant's unique citation designation number (optional)**

<sup>2</sup>See *Kind Codes of USPTO Patent Documents* at [www.uspto.gov](http://www.uspto.gov) or MPEP 901-04.

<sup>1</sup>Enter Office that issued the document, by the two-letter code (WIPO **ST.3**).  
<sup>2</sup>For Japanese patent documents, the indication of the year of the Emperor must precede the serial number of the patent document.  
<sup>3</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO **ST.16** if possible.  
<sup>4</sup>Applies to a place a check mark if the **English** version is the official version of the document.  
The collection of data is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO:

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10/587233  
IAP11 Rec'd PCT/PTO 24 JUL 2006

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PTO/SB/08B (AW 4/25/03) (04-03)

Approved for use through 04/30/2004. OMB 0651-0031  
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## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

*(Use as many sheets as necessary)*

SHEET 2 of 2

**Complete If Known**

Application Number	To Be Assigned	10/587,233
Filing Date	Herewith	
First Named Inventor	Isamu NAKADE, et al.	
Art Unit	To Be Assigned	2627
Examiner Name	To Be Assigned	T. Chen
Attorney Docket No.	NGB-104US	

## NON-PATENT LITERATURE DOCUMENTS

Examiner Signature	/Tianjie Chen/	Date Considered	03/07/2008
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**\*EXAMINED:** Include if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. If no citation is present, next communication to Applicant.

**1. Applicant's unique citation designation number (optional):**

**2Applicant is to place a check mark here if English language translation is attached.**

The collection of information is required by 37 CFR 1.58. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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